



06-18-04

ITW

June 17, 2004

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Nielsen, James T. et al  
Assignee: Cardica, Inc.  
Title: Surgical System and Method for Connecting Hollow Tissue Structures  
Serial No.: 10/720,618  
Examiner: Not yet assigned  
Docket No.: 142  
Filed: November 24, 2003  
Group Art Unit: 3721

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) PTO form 1449; and
- (4) Return postcard.

☒ No additional fee is required.

☒ Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 502108

Total: \$ 0.00

**EXPRESS MAIL LABEL NO.**  
**EV430390606US**

Respectfully submitted,

Brian A. Schar  
Attorney for Applicant(s)  
Reg. No. 45,076



Applicants: Nielsen, James T. et al.  
Assignee: Cardica, Inc.  
Title: Surgical System and Method for Connecting Hollow Tissue Structures  
Serial No.: 10/720,618 Filing Date: 11/24/2003  
Examiner: Not yet assigned Group Art Unit: 3721  
Docket No.: 142

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)**


Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner.

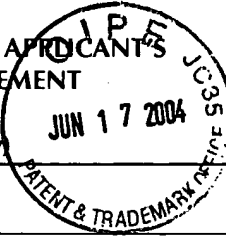
This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

  
Brian A. Schar  
Attorney for Applicant(s)  
Reg. No. 45,076  
Cardica, Inc.  
900 Saginaw Dr.  
Redwood City 94063

**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)


**ATTY. DOCKET NO.**

142

**SERIAL NO.**

10/720,618

**APPLICANT:**

Nielsen, James T. et al.

**FILING DATE:**

11/24/2003

**GROUP:**

3721

**U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE      | NAME   | CLASS | SUB<br>CLASS | FILING<br>DATE |
|---------------------|----|-----------------|-----------|--------|-------|--------------|----------------|
|                     | AA | 6,209,773       | 9/7/1999  | BOLDUC |       |              |                |
|                     | AB | 5,976,159       | 2/8/1996  | BOLDUC |       |              |                |
|                     | AC | 5,662,258       | 7/11/1996 | KNODEL |       |              |                |
|                     | AD |                 |           |        |       |              |                |
|                     | AE |                 |           |        |       |              |                |
|                     | AF |                 |           |        |       |              |                |
|                     | AG |                 |           |        |       |              |                |
|                     | AH |                 |           |        |       |              |                |
|                     | AI |                 |           |        |       |              |                |
|                     | AJ |                 |           |        |       |              |                |
|                     | AK |                 |           |        |       |              |                |
|                     | AL |                 |           |        |       |              |                |
|                     | AM |                 |           |        |       |              |                |
|                     | AN |                 |           |        |       |              |                |
|                     | AO |                 |           |        |       |              |                |
|                     | AP |                 |           |        |       |              |                |
|                     | AQ |                 |           |        |       |              |                |
|                     | AR |                 |           |        |       |              |                |
|                     | AS |                 |           |        |       |              |                |
|                     | AT |                 |           |        |       |              |                |
|                     | AU |                 |           |        |       |              |                |
|                     | AV |                 |           |        |       |              |                |
|                     | AW |                 |           |        |       |              |                |
|                     | AX |                 |           |        |       |              |                |

**FOREIGN PATENT DOCUMENTS**

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION<br>YES NO |
|---------------------|----|-----------------|------|---------|-------|--------------|-----------------------|
|                     | AY |                 |      |         |       |              |                       |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

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|--|----|--|
|  | AZ |  |
|--|----|--|

**EXAMINER:**
**DATE CONSIDERED:**

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant